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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing) Total Number of Pages in This Submission -9-

Application Number	10/632,769	
Filing Date	July 31, 2003	
First Named Inventor	Steven Sholtis	
Art Unit	2165	
Examiner Name	Diane D. Mizrahi	
Attorney Docket Number	CA7035172003	

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_ <u>_</u>		ENCLOSURES (check all tha	t appiy)		
Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply		Petition			Communication to TC Notice, Brief, Reply Brief)
☐ After Final		Petition to Convert to a Provisional Application		☐ Proprieta	ary Information
Affidavits/declaration(	(s)	Power of Attorney, Revocation Change of Correspondence Add	ress	☐ Status L	etter
Extension of Time Reque	st	Terminal Disclaimer		Other E	nclosure(s) dentify below):
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Information Disclosure State (5 pages)	atement	☐ Landscape Table on CD		Postcard for Acknowledgement of Receipt.	
Certified Copy of Priority Document(s)		Remarks			
Reply to Missing Parts/ Incomplete Application				,	·
Reply to Missing Part under 37 CFR1.52 or					
	SIGNA	TURE OF APPLICANT, ATTO	RNEY, O	R AGENT	
Firm	E	Bingham McCutchen LLP			
Signature		12.			
Printed Name	F	Peter C. Mei			
Date		August 28, 2006 Reg. No. 39,768			
		ERTIFICATE OF TRANSMISS	ION/MAI	LING	
I hereby certify that this commissioner for Patents, P.	orresponden O. Box 1450	ce is being mailed via first clas , Alexandria, VA 22313-1450, on th	s postage e date sho	e to the USI own below.	PTO, Mail Stop Amendment,
Signature	Laura.	a. Huntington			
Typed or printed name	Laura A. H		Date	August 28, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### **ICERTIFICATE OF MAILING**

I hereby certify that this paper (along with its corresponding PTO/SB/08A and references) is being deposited with the United States Postal Service, with sufficient postage as First Class Mail in envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Dated: August 28, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Steven Sholtis et al.

Serial No.: 10/632,769

Filing Date: July 31, 2003

For: FEDERATED SYSTEM AND METHODS

AND MECHANISMS OF IMPLEMENTING

AND USING SUCH A SYSTEM

Confirmation No.: 3084

Examiner: Diane D. Mizrahi

Group Art Unit: 2165

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Supplemental Information Disclosure Statement (IDS) are being brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08A and PTO/SB/08B. The Examiner is requested to make these documents of record.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant(s) is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant(s) as such.

1. Timing of	the Information Disclosure Statement:
submitted und (37 CFR § 1.9 continued pro- national stage the merits; or examination to	DS is believed to be timely in that it is a re-submission of an IDS previously der 37 CFR § 1.97(b), that is (1) with the new patent application submitted herein 97(a)); or (2) within three months of the filing date of the application, which is not a rescution application filed under § 1.53(d) or (3) within three months of entry of the eas set forth in 37 CFR § 1.491; or (4) before the mailing of a first Office action on (5) before the mailing of a first Office action after filing a request for continued under § 1.114, or (6) a resubmission of a previously submitted IDS. Thus, no fee to the extent a fee is required, please charge the fee due under 37 CFR § 1.17(p)
-	account referenced below.
	However, if the undersigned is in error in this regard, Applicant(s) respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
	However, if the undersigned is in error in this regard, Applicant(s) respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
	OS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office
Action on the	merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance
under 37 CFF	R § 1.311.
	Charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
	The fee due under 37 CFR § 1.17(p) is being concurrently submitted with the filing of an electronic IDS submission. Thus, no additional fee is required.
. 🔲	A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of
	Allowance, then Applicant(s) respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
	DS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 13 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the

ssue fee. A statement under 37 CFR § 1.97(e) is included below. Charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
This IDS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the ssue fee.
STATEMENT UNDER 37 CFR § 1.97(e):
That each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS; or
That no item of information contained in the IDS was cited in a communication from a oreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the DS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the IDS.
2. Copies of the Cited Items:
A copy of each of the following items listed on the attached form PTO/SB/08A (Item Nos. 9 -19) is enclosed.
A copy of each of the following items listed on the attached forms PTO/SB/08B (Item Nos. 1 - 19) is enclosed.
Copies of the following items listed on the attached form PTO/SB/08A (Item Nos. 1 -8) are not enclosed. See 37 CFR 1.98(a)(2)(i).
Copies of the following documents listed in PTO/SB/08A (Item Nos. *) and PTO/SB/08B Item Nos. *) are not supplied as they were previously cited by the Office or submitted in information Disclosure Statements in related applications (Application No. *, filed *) and relied upon in this application for an earlier filing date under 35 USC § 120. See 37 CFR § 1.98(d). The Examiner is requested to make these documents of record.

Copies of the following items listed on the attached forms PTO/SB/08A (Item Nos and PTO/SB/08B (Item Nos. *) were cited in a foreign examination report in a related case. copy of the search report and the cited references not already of record in this application attached hereto.	Α
3. Concise Explanation of Relevance:	
A concise explanation of relevance of the items listed on forms PTO/SB/08A and PTO/SB/08B is not given.	
A concise explanation of relevance of [some of] the items listed on forms PTO/SB/0 and PTO/SB/08B is in the form of an English language copy of a Search Report from a fore patent office, issued in a counterpart application, which refers to the relevant portions of references (copy attached).	ign
4. Related Applications:	
Applicant(s) brings to the Office's attention the following related application(s): U.S. Patent Application No. 10/633,338 filed July 31, 2003 and U.S. Patent Application No.	

## 5. Conclusion:

10/633,277 filed July 31,2003.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- a representation that a search has been made, other than as described above;
   and
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached forms PTO/SB/08A and PTO/SB/08B with initials or other appropriate marks. In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is

authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 50-2518</u>, referencing <u>CA7035172003</u> However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

Respectfully submitted,

Bv:

Peter C. Mei

Registration No. 39,768

Bingham McCutchen LLP 3 Embarcadero Center San Francisco, California 94111

Telephone: (650) 849-4870 Facsimile: (650) 849-4800

PTO/SB/08A (07-05)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/632,769 Filing Date 07/31/2003 First Named Inventor **Steven Sholtis** Art Unit 2165 Diane D. Mizrahi Examiner Name

(Use as many sheets as necessary)

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Attorney Docket Number CA7035172003

			U.S. PATE	NT D	OCUN	MENTS			
Examiner	Cite	Document Number	Publication D	Date	Name	of Patentee or Applicant of Cited Document	Pages, Colum	ns, Lines, Where R	televant
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YY			Cited Document	Pass	ages or Relevant igures Appear	
	1	US- 5,862,223	01/19/19	99		Walker et al.			
	2	US- 5,878,408	03/02/19	99	,	Van Huben et al.			
	3	US- 5,933,356	08/03/19	99		Rostoker et al.			
	4	US- 6,058,426	05/02/20	00		Godwin et al.			
	5	US- 6,102,961	08/15/20	00		Lee et al.		1 1	
	6	US- 6,141,724	10/31/20	00		Butler et al.			
	7	US- 6,269,467 B1	07/31/20	01		Chang et al.			
	8	US- 6,594,799 B1	07/15/20	03		Robertson et al.			
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind	Code <sup>5</sup> (if known)	D MM	ication ate I-DD- /YY	Name of Patentee or App Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	9	EP 0 433 066 A2		06-1	9-91	Hewlett-Packard Co	mpany		
	10	EP 0 433 066 A3	,,,,,,	05-2	6-93	Hewlett-Packard Co	mpany		
•••	11	EP 0 944 002 A1	-	09-2	2-99	Sony Europe GmbH			
	12	EP 1 063 599 A2		12-2	7-00	Fujitsu Limited			
	13	EP 1 063 599 A3		10-0	8-03	Fujitsu Limited			
	14	WO 01/42969 A2		06-1	4-01	Cadence Design Sy	stems, Inc.		
	15	WO 01/42969 A3		06-1	4-01	Cadence Design Sy	stems, Inc.		
	16	WO 01/65422 A2		09-0	7-01	Cadence Design Sy	stems, Inc.		
	17	WO 01/65422 A3		09-0	7-01	Cadence Design Sy			
	18	WO 01/65423 A2		09-0	7-01	Cadence Design Sy	stems, Inc.		
	19	WO 01/65423 A3		09-0	7-01	Cadence Design Sy	stems, Inc.		

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language

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form 1449B-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet of

Complete if Known		
Application Number 10/632,769		
Filing Date	July 31, 2003	
First Named Inventor	Steven SHOLTIS	
Art Unit	2165	
Examiner Name	Diane D. Mizrahi	
Attorney Docket Number	CA7035172003	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	T
Initials*	No.1	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	<u> </u>
	1	Benini, L. et al., "Distributed EDA tool integration: the PPP paradigm", Proceedings of the International Conference on Computer Design, Oct. 1996, pp. 448-453, IEEE.	.:
•	2	BLANCHARD, D. "EDA on the WWW PCB CAD", Printed Circuit Design (Feb. 1998) 15(2):34-37, Miller Freeman.	
	3	Brglez, F. et al., "A universal client for distributed networked design and computing", Jun. 2001, IEEE, pp. 401-406	
	4	CHAN, F.L. et al. "WELDAn Environment for Web-Based Electronic Design", Proceedings of the 35 <sup>th</sup> Annual Conference on Design Automation (May 1998), pp. 146-151	ľ
	5	DALPASSO, M. et al. "Specification and Validation of Distributed IP-based designs with JavaCAD", Proceedings of the Conference on Design, Automation and Test in Europe (March 1999) pp. 684-688.	
	6	Dalpasso, M. et al., "Virtual simulation of distributed IP-based designs", Jun. 1999, IEEE, 50-55.	
	7	Dieckman, D. et al., "DISCOE: Distributed Design and Analysis to Preserve Intellectual Property", Sep. 1998, IEEE,	
		pp. 57-60	L
	8	Geppert, L., "The Rising Tide of Web-Based Tools and Services Augurs the Next Sea Change in Electronic Design IC Design on the World Wide Web", IEEE Spectrum, Jun. 1998, vol. 35, No. 6, pp. 45-50.	
	9	Konduri et al. "A Framework for Collaborative and Distributed Web-Based Design", Proceedings of the 36 <sup>th</sup> ACM/IEEE Conference on Design Automation (June 1999), pp. 898-903	
	10	Larson, N. et al., "Managing Design Processes: A Risk Assessment Approach", Nov. 1996, IEEE, pp. 749-759	T
	11	Lee, Dong-Eun et al., "CADIC: Computer-aided design on internet with cryptosystem", Oct. 1998, IEEE, pp. 2670-2674	
•	12	MORETTI, G. "Got IP?" Printed Circuit Design (Oct. 1998) 15(10):31-33	
	13	ORFALI, R. et al. "Essential Client/Server Survival Guide" 1996, Katherine Showalter, 2.sup.nd ed., pp. 228-233 and 476	
	14	PERRIN, B. "Web-Based Circuit Engineering", Circuit Cellar INK (Mar. 1999) No. 104, pp. 28-30, 33, 35, 37-38	
	15	RAO, D.M. et al. "Web-Based Network Analysis and Design", ACM Transactions on Modeling and Computer Simulation (Jan. 2000) 10(1):18-38	

	•		
Examiner's Signature		Date Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B-PTO				Application Number	10/632,769	
INFO	MATION	חופרו הפ	LIRE	Filing Date	July 31, 2003	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Steven SHOLTIS	
			ANI	Art Unit	2165	
(use as many sheets as necessary)				Examiner Name	Diane D. Mizrahi	
Sheet	3	of	3	Attorney Docket Number	CA7035172003	

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	T
Initials*	No.1	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	<u> </u>
	16	Schindler, P. et al., "IP repository, a Web based IP reuse infrastructure", Custom Integrated Circuits, May 1999, IEEE, pp. 415-418	
	17.	Wen et al., "Concurrent-Simulation-Based Remote IP Evaluation over the Internet for System-On-Chip Design".  14.sup.th International Symposium on System Synthesis, Sep. 2001, pp. 233-238	
	18	Wilsey, P., "Web-Based Analysis and Distributed IP", Proceedings of the 1999 Winter Simulation Conference, pp. 1445-1453	
	19	Zorian, Y., "Test requirements for embedded core-based systems and IEEE P1500", Nov. 1997, IEEE, pp. 191-199	-
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